

# Equity Bulletin



## Global Whistleblower Service

Global Corporate Compliance was founded in 1996 and is an affiliate of Equity Transfer & Trust Company. Global has developed compliance solutions for publicly traded companies which are now being offered to clients of Equity on preferential terms.

The Global Whistleblower service is a solution developed in response to Canadian securities law requirements. The service provides an independent third party structure wherein an employee can anonymously report questionable accounting matters and have the information passed to the audit committee chair.



# Corporate governance regulation

Under Multi-Lateral Instrument 52 -110 Audit Committees, an audit committee must establish procedures for:

- > The confidential, anonymous submission by employees of the issuer of concerns regarding questionable accounting or auditing matters
- > The receipt, retention and treatment of complaints received by the issuer regarding accounting, internal accounting controls, or auditing matters.

## The Global Whistleblower solution

Your company can provide a solution that is compliant with MI 52-110 by utilizing the Global Whistleblower service. Using web and phone-based technology, your employees can anonymously report any questionable accounting or auditing activity to the Global Whistleblower.

Global Whistleblower will then notify the appropriate designate, at your company, which is usually the Audit Chairperson, via an email alert.

### FOR MORE INFORMATION PLEASE CONTACT:

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## Service tiers

### WhistleBasic

Your employee may lodge an anonymous complaint by using one of 3 methods:

- > The toll-free phone number (within North America)
- > The toll-free fax number
- > Through the Global website at [www.globalcci.com](http://www.globalcci.com)

Within 24 hours, Global, will contact the Issuer's designate with a detailed report of the complaint

FEE: \$600 per year with \$50.00 per issue reported

### WhistlePlus

- > Includes WhistleBasic as well as the following features:
- > International Toll Free Phone
- > A submission process whereby complaints are categorized as human resources or accounting issues, and then sent directly (without Global's manual intervention) to the appropriate designate
- > A unique login code that the complainant can use to review the "status" field and pass along any additional information to the Audit Chair or Human Resource designate. This feature facilitates and allows for a forum of anonymous ongoing dialogue.

FEE: \$1.200 per year with \$50.00 per issue reported